

American Recovery & Reinvestment Act
 State of Alabama
September 2009 Monthly Update Form

PLEASE NOTE:

The fields below have been pre-populated with the information submitted in last month's Monthly Update Form.
You must complete a form for each and every grant that your agency intends to apply for and/or receive.

Data reporting range: 2/18/09 to 9/30/09

Agency/Institution: Environmental Management

Date of Submission: October 2, 2009

The amounts entered below should represent cumulative totals for the life of the Recovery Act program/grant.

1) Grant Name	Drinking Water State Revolving Fund (DWSRF) Is this grant/program subject to Section 1512 Reporting? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes' and <u>you have been awarded</u> this grant, you must fill out the <i>Internal Section 1512 ARRA Reporting Form</i> that follows.
2) CFDA Number:	66.468
3) Grant Narrative Description:	Grant to capitalize the DWSRF for financing drinking water infrastructure and to preserve/create jobs.
4) Status of Application:	Approved
5) Which type of recipient are you?	Prime Recipient <i>If sub-recipient is chosen, type the name of the Prime Recipient below and indicate if you are a delegated or non-delegated sub-recipient:</i>
6) Application Date:	June 11, 2009
7) Award Date:	August 19, 2009
8) Status of Expenditures:	N/A
9) Actual # of Jobs Created/Retained:	N/A
10) Description of Types of Actual Jobs Created / Retained:	N/A - Job information will be collected as disbursement requests are received from the borrowers.
11) ¹ARRA Funds Awarded:	\$19,500,000
12) ²ARRA Funds Available to date:	\$2,000,000
13) ³ARRA Funds Expended:	N/A
14) Performance Metric 1 (if applicable)	N/A <input type="checkbox"/> Annual Measure? <input type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?
15) Performance Metric 2 (if applicable)	N/A <input type="checkbox"/> Annual Measure? <input type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?
16) Performance Metric 3 (if applicable)	N/A <input type="checkbox"/> Annual Measure? <input type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?

¹Amount Awarded - the total amount of ARRA Funds that your agency/institution is expecting to receive over the life of the grant/program.

²Amount Available - the total current amount of ARRA funds you have received or that is available to your agency/institution at the time of this report. For instance, you may have been awarded a total of \$1,500,000 dollars but may have only received \$50,000.

³Amount Expended - total amount of ARRA Funds spent on ARRA projects.

17) Administration of grant/program	<p>The program will be administered in accordance with ARRA and the EPA DWSRF regulations already in effect.</p> <p>Applications for ARRA loan funding were due April 1, 2009.</p> <p>Loan recipients were selected according to ADEM DWSRF priority system regulations as detailed in the Intended Use Plan.</p> <p>6 Recipients have been approved for funding, 1 loan has closed.</p>
Other Information Details	

Agency information verified by: Aubrey White, Chief, General Services Branch

Submit this form to: AlabamaStimulus@finance.alabama.gov

By: **October 10, 2009**

For questions, please call 334.353.2026.

American Recovery & Reinvestment Act
State of Alabama
Internal Section 1512 ARRA Reporting Form

This form will be sent monthly as an addition to the Update Form (page 1) and will serve as internal documentation for your agency, the Finance Department, and the Governor’s Office. Upon initial receipt, agencies/institutions are asked to complete only questions that are applicable at this time. The remaining questions will be answered as new reporting information is provided. Once all of the information has been provided, agencies/institutions will be required to update this form only if their reporting information changes. Agencies/institutions must complete this form for each and every grant that your agency/institution acts as a prime or delegated sub-recipient.

****The information entered below should represent an accurate description of your plans for reporting. This document will be sent on a monthly basis along with the Monthly Update Form for review and/or necessary revisions. ****

<p>1) If your agency serves as a prime recipient, has your agency registered in the Central Contractor Registration (CCR) database and further acquired a D-U-N-S number? Registration with www.FederalReporting.gov will require this information.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No D-U-N-S Number 958550576</p>
<p>2) Has your agency registered on www.FederalReporting.gov?</p>	<p>Type answer here.</p>
<p>3) Which format will your agency/institution use to submit reports to www.FederalReporting.gov?</p>	<p><input type="checkbox"/> Online Data Entry form provided on the website <input type="checkbox"/> Excel Spreadsheet available for download from the website <input type="checkbox"/> Custom software system extract in XML (Extensible Markup Language) will be entered in EPA system, then downloaded and sent to OMB..</p>
<p>4) Who will be your agency’s reporting official designated to enter information to www.FederalReporting.gov. If you have multiple designated officials, how will you eliminate multiple reporting for the same Grant/Program?</p>	<p><input checked="" type="checkbox"/> Single Point of data entry for this Grant/Program James Dailey <input type="checkbox"/> Multiple Officials reporting Grant/Program information [Redacted] Type other comments here.</p>
<p>5) Who will be your agency’s data quality review official designated to review the data submitted by your agency? This designee will be required to review information submitted by delegated Sub-recipients.</p>	<p>All data will be reviewed by the SRF Section Chief as it is entered into the EPA system; prior to submittal to OMB the General Services Branch Chief will review the aggregate information.</p>
<p>6) Who will be your agency’s data corrections official responsible for making corrections to submitted information during the Data Quality Review Phase? (Data Quality Review phase for agencies will be from the 11th day to the 21st day after the end of each quarter. Only errors</p>	<p>Corrections, if needed, will be made by the SRF Section Chief after review/approval of the General Services Branch Chief.</p>

<p>flagged by federal agencies will be unlocked for corrections from the 22nd-29th).</p>	
<p>7) How will you capture your Sub-recipient or Vendor data elements that will be reported to your agency?</p>	<p>SRF loan recipients will report job information and construction progress information to ADEM at each request for disbursement.</p>
<p>8) Will any sub-grant under this Grant/Program be for an amount less than \$25,000 which would require aggregate reporting? Do you have a reporting mechanism in place for aggregate reporting?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>N/A</p> <p>Each SRF loan will be entered into the EPA CBR/PBR database; monthly aggregate information will be downloaded and sent to OMB.</p>
<p>9) Have you or do you plan to delegate any reporting requirements to a Sub-recipient?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>.</p>
<p>10) What agencies/institutions will serve as delegated Sub-recipients and which format will your delegated Sub-recipients submit reports to www.FederalReporting.gov?</p>	<p>N/A Click here to select which format.</p>
<p>11) Please provide the name(s) and contact number(s) for the delegated Sub-recipient's reporting official(s) designated to enter information to www.FederalReporting.gov.</p>	<p>N/A</p>
<p>12) Please provide the name(s) and contact number(s) for the delegated Sub-recipient's data quality review official(s) designated to review and correct information in www.FederalReporting.gov.</p>	<p>N/A</p>
<p>13) Have your agency's delegated Sub-recipients registered on www.FederalReporting.gov?</p>	<p>Type answer here.</p>
<p>14) After corrected information is posted to www.Recovery.gov by the federal agency, how will your agency ensure the timely update of its own recovery page?</p>	<p>Copies of all reports will be posted on ADEM's web page upon submittal.</p>