

American Recovery & Reinvestment Act
 State of Alabama
September 2009 Monthly Update Form

PLEASE NOTE:

The fields below have been pre-populated with the information submitted in last month's Monthly Update Form.
You must complete a form for each and every grant that your agency intends to apply for and/or receive.

Data reporting range: 2/18/09 to 9/30/09

Agency/Institution: Environmental Management

Date of Submission: Type date of submission here.

The amounts entered below should represent cumulative totals for the life of the Recovery Act program/grant.

1) Grant Name	State Clean Diesel Grant Program Is this grant/program subject to Section 1512 Reporting? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes' and <u>you have been awarded</u> this grant, you must fill out the <i>Internal Section 1512 ARRA Reporting Form</i> that follows.
2) CFDA Number:	66.040
3) Grant Narrative Description:	The project will retrofit on-road and nonroad heavy-duty diesel vehicles and equipment operated by the Alabama Department of Transportation (ALDOT), with emission control devices. This project will reduce carbon monoxide, nitrogen oxide, hydrocarbons, and particulate matter.
4) Status of Application:	Approved
5) Which type of recipient are you?	Prime Recipient <i>If sub-recipient is chosen, type the name of the Prime Recipient below and indicate if you are a delegated or non-delegated sub-recipient:</i>
6) Application Date:	March 16, 2009
7) Award Date:	April 16, 2009
8) Status of Expenditures:	Funds Received
9) Actual # of Jobs Created/Retained:	0
10) Description of Types of Actual Jobs Created / Retained:	Type of description of the types of jobs created/retained here.
11) ¹ARRA Funds Awarded:	\$1,730,000
12) ²ARRA Funds Available to date:	\$1,730,000
13) ³ARRA Funds Expended:	\$0
14) Performance Metric 1 (if applicable)	No retrofits of heavy-duty diesel vehicles and equipment have been done to date. <input type="checkbox"/> Annual Measure? <input checked="" type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?
15) Performance Metric 2 (if applicable)	Provide additional performance measures for this grant/program here. <input type="checkbox"/> Annual Measure? <input type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?

¹Amount Awarded - the total amount of ARRA Funds that your agency/institution is expecting to receive over the life of the grant/program.

²Amount Available - the total current amount of ARRA funds you have received or that is available to your agency/institution at the time of this report. For instance, you may have been awarded a total of \$1,500,000 dollars but may have only received \$50,000.

³Amount Expended - total amount of ARRA Funds spent on ARRA projects.

16) Performance Metric 3 (if applicable)	Provide additional performance measures for this grant/program here. <input type="checkbox"/> Annual Measure? <input type="checkbox"/> Quarterly Measure? <input type="checkbox"/> Monthly Measure?
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<p>17) Administration of grant/program</p>	<p>The program will be administered by ADEM Air Division personnel. Funds will be distributed to ALDOT for costs associated with purchasing and installing the emission control devices for the project. ALDOT will receive funds, or be reimbursed, by ADEM as costs are incurred.</p> <p>Deadline for sub-grantee applications (if applicable)- NA</p> <p>Selection criteria for sub-grantees (if applicable)-NA</p> <p>Number of sub-grantees / sub-recipients awarded (if applicable)-NA</p>
<p>Other Information Details</p>	<p>ADEM had anticipated having the Memorandum of Understanding (MOU) between ADEM and ALDOT completed during this reporting period. ADEM was unable to finalize the MOU due to concerns pertaining to unfinalized requirements by EPA. These concerns are being addressed. The incompleteness of the MOU did not allow ADEM the latitude to begin the process of actually retrofitting the vehicles, thus not expending funds.</p>

Agency information verified by: Dale Hurst

Submit this form to: AlabamaStimulus@finance.alabama.gov


By: **October 10, 2009**

For questions, please call 334.353.2026.

American Recovery & Reinvestment Act
State of Alabama
Internal Section 1512 ARRA Reporting Form

This form will be sent monthly as an addition to the Update Form (page 1) and will serve as internal documentation for your agency, the Finance Department, and the Governor’s Office. Upon initial receipt, agencies/institutions are asked to complete only questions that are applicable at this time. The remaining questions will be answered as new reporting information is provided. Once all of the information has been provided, agencies/institutions will be required to update this form only if their reporting information changes. Agencies/institutions must complete this form for each and every grant that your agency/institution acts as a prime or delegated sub-recipient.

****The information entered below should represent an accurate description of your plans for reporting. This document will be sent on a monthly basis along with the Monthly Update Form for review and/or necessary revisions. ****

<p>1) If your agency serves as a prime recipient, has your agency registered in the Central Contractor Registration (CCR) database and further acquired a D-U-N-S number? Registration with www.FederalReporting.gov will require this information.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No D-U-N-S Number 958550576</p>
<p>2) Has your agency registered on www.FederalReporting.gov?</p>	<p>Yes</p>
<p>3) Which format will your agency/institution use to submit reports to www.FederalReporting.gov?</p>	<p><input checked="" type="checkbox"/> Online Data Entry form provided on the website <input type="checkbox"/> Excel Spreadsheet available for download from the website custom software system extract in XML (Extensible Markup Language) other comments here.</p>
<p>4) Who will be your agency’s reporting official designated to enter information to www.FederalReporting.gov. If you have multiple designated officials, how will you eliminate multiple reporting for the same Grant/Program?</p>	<p><input checked="" type="checkbox"/> Single Point of data entry for this Grant/Program Geraline Handsome <input type="checkbox"/> Multiple Officials reporting Grant/Program information </p>
<p>5) Who will be your agency’s data quality review official designated to review the data submitted by your agency? This designee will be required to review information submitted by delegated Sub-recipients.</p>	<p>Dale Hurst, Chief of Control Strategies Section, Air Division. This person will review submitted data quality and review information submitted by ALDOT - the Sub-recipient.</p>
<p>6) Who will be your agency’s data corrections official responsible for making corrections to submitted information during the Data Quality Review Phase? (Data Quality Review phase for agencies will be from the 11th day to the 21^{rst} day after the end of each quarter. Only errors flagged by federal agencies will be unlocked</p>	<p>Please provide the name(s) and contact number(s) for the designated official(s) who will perform data corrections. Geraline Handsome 334-271-7909</p>

for corrections from the 22 nd -29 th).	
7) How will you capture your Sub-recipient or Vendor data elements that will be reported to your agency?	ALDOT will submit written quarterly reports to ADEM. ADEM will be responsible for reporting the data to EPA.
8) Will any sub-grant under this Grant/Program be for an amount less than \$25,000 which would require aggregate reporting? Do you have a reporting mechanism in place for aggregate reporting?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
9) Have you or do you plan to delegate any reporting requirements to a Sub-recipient?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
10) What agencies/institutions will serve as delegated Sub-recipients and which format will your delegated Sub-recipients submit reports to www.FederalReporting.gov?	N/A Click here to select which format.
11) Please provide the name(s) and contact number(s) for the delegated Sub-recipient's reporting official(s) designated to enter information to www.FederalReporting.gov.	Type the name(s) and contact number(s) of the designated reporting official(s) here.
12) Please provide the name(s) and contact number(s) for the delegated Sub-recipient's data quality review official(s) designated to review and correct information in www.FederalReporting.gov.	Type the name(s) and contact number(s) of the designated data quality review official(s) here.
13) Have your agency's delegated Sub-recipients registered on www.FederalReporting.gov?	No (See Question 9)
14) After corrected information is posted to www.Recovery.gov by the federal agency, how will your agency ensure the timely update of its own recovery page?	Copies of all reports will be posted on ADEM's web page upon submittal.