

**State of Alabama
Alabama Department of Environmental Management
Drinking Water State Revolving Fund (DWSRF) Loan Program**



SRF and Operator Certification Section
Permits and Services Division
Alabama Department of Environmental Management
Post Office Box 301463
Montgomery, Alabama 36130-1463

(334) 271-7796
(334) 271-7950 FAX

Drinking Water State Revolving Loan Fund



Pre-Application Form

This form must be sent to the above address by December 31, to be considered for funding the following year.

Project Name	Loan Amount Requested \$
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Applicant	County
Name and Title of Contact Person	Telephone
Street Address or Post Office Box	FAX
City, State, and Zip	Email

Consulting Engineer	Telephone
Firm	FAX
Street Address or Post Office Box	Email
City, State, and Zip	

PWS ID #	Alabama House District(s)	Alabama Senate District(s)	Population Served by System
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Please break down the total project costs in percentages, according to the needs categories which best describe your project (categories should sum to 100%):

%	Treatment
%	Distribution
%	Source
%	Storage

For the following questions, please attach additional pages if adequate space is not provided on this form:

1) Give a brief description of the proposed project and attach a copy of the preliminary engineering report. (See outline)

2) Give an estimated cost outline for the entire project. If available, give line item breakdowns.

3) Please list all additional funding sources that will be utilized to complete this project. List each source and funding amount. (If a commitment has been secured from any of these funding sources, list the commitment date and attach a copy of the commitment letter.)

Other Funding Source(s)	Amount(s)	Commitment Date(s)

4) What is the proposed project schedule? If actual dates are available, please include them in the appropriate column.

Activity	Proposed Dates	Actual Dates
Final Plans and Specs Prepared		
Bid Advertisement		
Contract Award		
Start Construction		
Complete Construction		

Signature of Authorized Representative	Print or Type Name
Title	Date

This form should be signed by the person who is authorized to execute contracts on behalf of the applicant jurisdiction. A resolution or certified copy of the minutes of the meeting, at which the submission of this pre-application was authorized, should also be attached. **THREE SIGNED COPIES** of this form and the preliminary engineering report (PER) should be mailed to the address shown on Page 1 of this form.

DWSRF PRELIMINARY ENGINEERING REPORT

Items 1 through 11 must be addressed in a preliminary engineering report (PER) for the DWSRF program.

1. Brief Description of the Project: (location and type of project, number of beneficiaries, amount and source of other funds, etc.)
2. Brief Description of Existing Water Quality Deficiencies or Resulting Violations: (Turbidity, Iron, Manganese, Nitrates, Coliform bacteria, or Aesthetics - taste/odor/discoloration)
3. Brief Description and Explanation of Existing Water Quantity Deficiencies and the Status of the System's Water Conservation Plan: (water source, storage, or pressure)
4. Brief Description of Existing Treatment/Design Deficiencies: (surface or ground water treatment processes or distribution)
5. Brief Description of the System's Active Backflow Prevention Program:
6. Status of Completion of Source Water Protection Activities: (assessment, delineation, contaminant source inventory, contracts, letters)
7. Proposed Consolidation or System Interconnection: (total system consolidation, physical interconnection, management consolidation, or improvement of services - managerial, operational, and financial)
8. Average Annual Household Water Bill:
9. Median Household Income (MHI) of Project Area:
10. Number of Benefiting Connections Due to New Construction: (public health protection/enhancement - improved water quality, pressure, or service)
11. The following items must also be included in the PER:
 - a. History of the water system;
 - b. Description of existing system;
 - c. Proposed service area with maps;
 - d. Proposed improvements;
 - e. Cost estimates;
 - f. Alternative Solutions;
 - g. Proposed operation and management of the system;
 - h. Any construction problems;
 - i. Financial data with last three years financial statements;
 - j. Conclusions and Recommendations.

Projects will be evaluated and allotted points based on the following equation:

$$P=A+B+C+D+E+F+G$$

Where:

A = Violations of National Drinking Water Standards

B = Quantity Deficiencies

C = Treatment Deficiencies

D = Affordability

E = New Customers Served

F = Consolidation

G = Benefit/Cost

Priority will be given to projects that address the most serious risk to public health, are necessary to ensure compliance with the requirements of the Safe Drinking Water Act, and assist systems most in need on a per household basis. **Projects that are primarily intended to serve future growth are ineligible for DWSRF funding.**